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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/665,396	09/22/2003	Keisuke Kataoka	116692004400	4411
25227	7590	07/07/2006	EXAMINER	
MORRISON & FOERSTER LLP 1650 TYSONS BOULEVARD SUITE 300 MCLEAN, VA 22102			DWIVEDI, MAHESH H	
			ART UNIT	PAPER NUMBER
			2168	

DATE MAILED: 07/07/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/665,396

Applicant(s)

KATAOKA ET AL.

Examiner

Mahesh H. Dwivedi

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 September 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 22 September 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Priority

1. Acknowledgment is made of applicant's claim for priority under 35 U.S.C. 119(a)-(d) based upon an application filed in Japan (Japan 2002-273543) on 9/19/2002. A claim for priority under 35 U.S.C. 119(a)-(d) cannot be based on said application, since the United States application was filed more than twelve months thereafter.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-11 are rejected under 35 U.S.C. 102(b) as being anticipated by **Bezos et al.** (European Patent Application EP 0 927 945, published on 07 July 1999).
4. Regarding claim 1, **Bezos** teaches a system comprising:
 - A) an address data storing unit which stores address data of users that are categorized based on groups in which each of the users belong to, and identification data unique to each of the user (Paragraph 28, Figures 9A-9B, 10);
 - B) an identification data receiving unit which receives identification data of the users from user terminals (Paragraphs 28-30, Figures 9A-9B, 10);

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C) an address data extracting unit which extracts address data, corresponding to the identification data received by said identification data receiving unit, from the address data stored in the address data storing unit (Paragraphs 28-30, Figures 9A-9B, 10); and
D) an address data output processing unit which outputs the address data extracted by said address data extracting unit to respective one of user terminals (Bezos, (Paragraphs 28-30, Figures 9A-9B, 10).

The examiner notes that "To effect the giving of the item to multiple recipients who are associated with the group name, the user inputs a name of the group that identifies the recipients into the group name subsection 902b" (Paragraph 28, lines 9-13) and "Figure 10 illustrates a grid for creation of a group and the entry of identifying information for recipients with the group (i.e. members)" (Paragraph 28, lines 15-18) are analogous to **"an address data storing unit which stores address data of users that are categorized based on groups in which each of the users belong to, and identification data unique to each of the user"**. The examiner further notes that "Figure 10 illustrates a grid for creation of a group and the entry of identifying information for recipients with the group (i.e. members)" (Paragraph 28, lines 15-18) and "The user specifies a group by indicating some of the recipients whose addresses are in the address book" (Paragraph 28, lines 37-39) are analogous to **"an identification data receiving unit which receives identification data of the users from user terminals"**. The examiner further notes that "When the system is requested to give an item to each recipient associated with a group, the system uses the information stored for each recipient to identify information need to effect the delivery of the gift"

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(Paragraph 28, lines 26-30) is analogous to **“an address data extracting unit which extracts address data, corresponding to the identification data received by said identification data receiving unit, from the address data stored in the address data storing unit”**. The examiner further notes that “Buy item and ship to: John Doe at home” (Figure 9B) is analogous to **“an address data output processing unit which outputs the address data extracted by said address data extracting unit to respective one of user terminals”**. The examiner further notes that Figure 10 displays a screenshot of Bezos’s invention, which clearly shows in reference numeral 103 address data of a gift recipient.

Regarding claim 2, **Bezos** further teaches a system comprising:

- A) a change request receiving unit which receives identification data of a user, and a request for changing the address data (Paragraph 25, Figures 8A-8C, 10); and
- B) a change processing unit which changes the address data stored in said address data storing unit, in response to the request received from said change request receiving unit (Paragraph 25, Figures 8A-8C, 10);
- C) wherein: the request received from said change request receiving unit includes a first request for inserting address data, and a second request for deleting address data (Paragraph 25, Figures 8A-8C, 10); and
- D) said change processing unit inserts new address data corresponding to the identification data of the user to the address data stored in said address data storing unit, when said change request receiving unit receives said first request, and deletes a

part of or the whole address data stored corresponding to the user in said address data storing unit, when said change request receiving unit receives said second request (Paragraph 25, Figures 8A-8C, 10).

The examiner notes that “When a user clicks on a data entry field, a new Web page is presented to the user that allows for the editing of the data associated with the field” (Paragraph 25 lines 16-19) is analogous to **“a change request receiving unit which receives identification data of a user, and a request for changing the address data”**. The examiner further notes that Figures 8A-8C indicated on of the entry fields that are editable is delivery address data. The examiner further notes that “When editing is complete, the edited data is displayed in the data “entry” field” (Paragraph 25, lines 19-20) is analogous to **“a change processing unit which changes the address data stored in said address data storing unit, in response to the request received from said change request receiving unit”**. The examiner further notes that “When the user selects the start button, then section A expands to include the data entry fields for customer name and address” (Paragraph 25, lines 49-52) and “When a user clicks on a data entry field, a new Web page is presented to the user that allows for the editing of the data associated with the field” (Paragraph 25 lines 16-19) are analogous to **“wherein: the request received from said change request receiving unit includes a first request for inserting address data, and a second request for deleting address data”**. The examiner further notes that “When the user selects the start button, then section A expands to include the data entry fields for customer name and address” (Paragraph 25, lines 49-52) and “When editing is

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complete, the edited data is displayed in the data "entry" field" (Paragraph 25, lines 19-20) are analogous to **"said change processing unit inserts new address data corresponding to the identification data of the user to the address data stored in said address data storing unit, when said change request receiving unit receives said first request, and deletes a part of or the whole address data stored corresponding to the user in said address data storing unit, when said change request receiving unit receives said second request"**. The examiner further notes that Figure 10 displays a screenshot of Bezos's invention, which clearly shows in reference numeral 103 address data of a gift recipient.

Regarding claim 3, **Bezos** further teaches a system comprising:

- A) the address data stored in said address data storing unit includes receiver data showing the receiver of a merchandise, and a payer data showing a payer of the merchandise (Paragraphs 27-30, Figures 9A-9B, 10);
- B) said address data extracting unit reads the receiver data and the payer data from said address data storing unit, in accordance with the identification data of the user received by said identification data receiving unit (Paragraphs 27-30, Figures 9A-9B, 10); and
- C) said address data output unit sends the receiver data and the payer data read by said address data extracting unit, to said user terminal (Paragraphs 27-30, Figures 9A-9B, 10).

The examiner notes that “The system bills the item to the user based on information for that user for single action ordering and ships the item to the recipient at the delivery address” (Paragraph 27 lines 58, 1-4) is analogous to **“the address data stored in said address data storing unit includes receiver data showing the receiver of a merchandise, and a payer data showing a payer of the merchandise”**. The examiner further notes that Figures 8A-8C indicated on of the entry fields that are editable is delivery address data. The examiner further notes that “The system bills the item to the user based on information for that user for single action ordering and ships the item to the recipient at the delivery address” (Paragraph 27 lines 58, 1-4) is analogous to **“said address data extracting unit reads the receiver data and the payer data from said address data storing unit, in accordance with the identification data of the user received by said identification data receiving unit”**. The examiner further notes that it is common knowledge that in order to bill a payer of a good, a website must extract needed information of the payer to do so. The examiner further notes that “The system bills the item to the user based on information for that user for single action ordering and ships the item to the recipient at the delivery address” (Paragraph 27 lines 58, 1-4) is analogous to **“said address data output unit sends the receiver data and the payer data read by said address data extracting unit, to said user terminal”**.

Regarding claim 4, **Bezos** teaches a method comprising:

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- A) storing address data of users, categorized based on groups in which each of users belong to, and identification data unique to each of the users, in a storing unit;
- B) receiving identification data of a user from a user terminal;
- C) reading address data, corresponding to the received identification data, from said data storing unit; and
- D) providing read address data to a respective user terminal.

The examiner notes that "To effect the giving of the item to multiple recipients who are associated with the group name, the user inputs a name of the group that identifies the recipients into the group name subsection 902b" (Paragraph 28, lines 9-13) and "Figure 10 illustrates a grid for creation of a group and the entry of identifying information for recipients with the group (i.e. members)" (Paragraph 28, lines 15-18) are analogous to **"storing address data of users, categorized based on groups in which each of users belong to, and identification data unique to each of the users, in a storing unit"**. The examiner further notes that "Figure 10 illustrates a grid for creation of a group and the entry of identifying information for recipients with the group (i.e. members)" (Paragraph 28, lines 15-18) and "The user specifies a group by indicating some of the recipients whose addresses are in the address book" (Paragraph 28, lines 37-39) are analogous to **"receiving identification data of a user from a user terminal"**. The examiner further notes that "When the system is requested to give an item to each recipient associated with a group, the system uses the information stored for each recipient to identify information need to effect the delivery of the gift" (Paragraph 28, lines 26-30) is analogous to **"reading address data, corresponding to**

the received identification data, from said data storing unit". The examiner further notes that "Buy item and ship to: John Doe at home" (Figure 9B) is analogous to **"providing read address data to a respective user terminal"**. The examiner further notes that Figure 10 displays a screenshot of Bezos's invention, which clearly shows in reference numeral 103 address data of a gift recipient.

Regarding claim 5, **Bezos** further teaches a method comprising:

- A) receiving a request for changing the identification data of the user, and the address data, from said user terminal (Paragraph 25, Figures 8A-8C, 10);
- B) inserting new address data, corresponding to the identification data of the user, to the address data stored in said address data storing unit, when the request received from said user terminal is a first request for inserting address data (Paragraph 25, Figures 8A-8C, 10); and
- C) deleting a part of or the whole address data stored corresponding to the user in said address data storing unit, when the request received from said user terminal is a second request for deleting address data (Paragraph 25, Figures 8A-8C, 10).

The examiner notes that "When a user clicks on a data entry field, a new Web page is presented to the user that allows for the editing of the data associated with the field" (Paragraph 25 lines 16-19) is analogous to **"receiving a request for changing the identification data of the user, and the address data, from said user terminal"**. The examiner further notes that Figures 8A-8C indicated on of the entry fields that are editable is delivery address data. The examiner further notes that "When the user

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selects the start button, then section A expands to include the data entry fields for customer name and address" (Paragraph 25, lines 49-52) and "When a user clicks on a data entry field, a new Web page is presented to the user that allows for the editing of the data associated with the field" (Paragraph 25 lines 16-19) are analogous to

"inserting new address data, corresponding to the identification data of the user, to the address data stored in said address data storing unit, when the request received from said user terminal is a first request for inserting address data".

The examiner further notes that "When the user selects the start button, then section A expands to include the data entry fields for customer name and address" (Paragraph 25, lines 49-52) and "When editing is complete, the edited data is displayed in the data "entry" field" (Paragraph 25, lines 19-20) are analogous to **"deleting a part of or the whole address data stored corresponding to the user in said address data storing unit, when the request received from said user terminal is a second request for deleting address data".**

Regarding claim 6, **Bezos** further teaches a method comprising:

A) wherein the address data stored in said data storing unit includes a receiver data that shows a receiver of a merchandise, and a payer data that shows a payer of a merchandise (Paragraphs 27-30, Figures 9A-9B, 10).

The examiner notes that "The system bills the item to the user based on information for that user for single action ordering and ships the item to the recipient at the delivery address" (Paragraph 27 lines 58, 1-4) is analogous to **"wherein the**

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address data stored in said data storing unit includes a receiver data that shows a receiver of a merchandise, and a payer data that shows a payer of a merchandise”.

Regarding claim 7, **Bezos** further teaches a method comprising:

- A) wherein the receiver data and the payer data stored in said data storing unit are read, in accordance with the identification data of the user received by said user terminal (Paragraphs 27-30, Figures 9A-9B, 10); and
- B) the read receiver data and the payer data are sent to said user terminal (Paragraphs 27-30, Figures 9A-9B, 10).

The examiner notes that “The system bills the item to the user based on information for that user for single action ordering and ships the item to the recipient at the delivery address” (Paragraph 27 lines 58, 1-4) is analogous to “**wherein the receiver data and the payer data stored in said data storing unit are read, in accordance with the identification data of the user received by said user terminal”.**

Regarding claim 8, **Bezos** teaches a computer program comprising:

- A) storing address data, categorized based on a group in which a user belongs to, and an identification data unique to the user, in a storing unit (Paragraph 28, Figures 9A-9B, 10);

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- B) receiving identification data of the user from a user terminal (Paragraphs 28-30, Figures 9A-9B, 10);
- C) reading address data, corresponding to the received identification data, from said data storing unit (Paragraphs 28-30, Figures 9A-9B, 10); and
- D) providing the read address data to said user terminal (Paragraphs 28-30, Figures 9A-9B, 10).

The examiner notes that “To effect the giving of the item to multiple recipients who are associated with the group name, the user inputs a name of the group that identifies the recipients into the group name subsection 902b” (Paragraph 28, lines 9-13) and “Figure 10 illustrates a grid for creation of a group and the entry of identifying information for recipients with the group (i.e. members)” (Paragraph 28, lines 15-18) are analogous to **“storing address data of users, categorized based on groups in which each of users belong to, and identification data unique to each of the users, in a storing unit”**. The examiner further notes that “Figure 10 illustrates a grid for creation of a group and the entry of identifying information for recipients with the group (i.e. members)” (Paragraph 28, lines 15-18) and “The user specifies a group by indicating some of the recipients whose addresses are in the address book” (Paragraph 28, lines 37-39) are analogous to **“receiving identification data of a user from a user terminal”**. The examiner further notes that “When the system is requested to give an item to each recipient associated with a group, the system uses the information stored for each recipient to identify information need to effect the delivery of the gift” (Paragraph 28, lines 26-30) is analogous to **“reading address data, corresponding to**

the received identification data, from said data storing unit". The examiner further notes that "Buy item and ship to: John Doe at home" (Figure 9B) is analogous to **"providing read address data to a respective user terminal"**. The examiner further notes that Figure 10 displays a screenshot of Bezos's invention, which clearly shows in reference numeral 103 address data of a gift recipient.

Regarding claim 9, **Bezos** further teaches a computer program comprising:

- A) receiving a request for changing the identification data of the user, and the address data, from said user terminal (Paragraph 25, Figures 8A-8C, 10);
- B) inserting new address data, corresponding to the identification data of the user, to the address data stored in said address data storing unit, when the request received from said user terminal is a first request for inserting address data (Paragraph 25, Figures 8A-8C, 10); and
- C) deleting a part of or the whole address data stored corresponding to the user in said address data storing unit, when the request received from said user terminal is a second request for deleting address data (Paragraph 25, Figures 8A-8C, 10).

The examiner notes that "When a user clicks on a data entry field, a new Web page is presented to the user that allows for the editing of the data associated with the field" (Paragraph 25 lines 16-19) is analogous to **"receiving a request for changing the identification data of the user, and the address data, from said user terminal"**. The examiner further notes that Figures 8A-8C indicated on of the entry fields that are editable is delivery address data. The examiner further notes that "When the user

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selects the start button, then section A expands to include the data entry fields for customer name and address" (Paragraph 25, lines 49-52) and "When a user clicks on a data entry field, a new Web page is presented to the user that allows for the editing of the data associated with the field" (Paragraph 25 lines 16-19) are analogous to

"inserting new address data, corresponding to the identification data of the user, to the address data stored in said address data storing unit, when the request received from said user terminal is a first request for inserting address data".

The examiner further notes that "When the user selects the start button, then section A expands to include the data entry fields for customer name and address" (Paragraph 25, lines 49-52) and "When editing is complete, the edited data is displayed in the data "entry" field" (Paragraph 25, lines 19-20) are analogous to **"deleting a part of or the whole address data stored corresponding to the user in said address data storing unit, when the request received from said user terminal is a second request for deleting address data".**

Regarding claim 10, **Bezos** further teaches a computer program comprising:

- A) wherein the address data stored in said data storing unit includes a receiver data that shows a receiver of a merchandise (Paragraphs 27-30, Figures 9A-9B, 10); and
- B) a payer data that shows a payer of a merchandise (Paragraphs 27-30, Figures 9A-9B, 10).

The examiner notes that "The system bills the item to the user based on information for that user for single action ordering and ships the item to the recipient at

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the delivery address" (Paragraph 27 lines 58, 1-4) is analogous to **"wherein the address data stored in said data storing unit includes a receiver data that shows a receiver of a merchandise, and a payer data that shows a payer of a merchandise"**.

Regarding claim 11, **Bezos** further teaches a computer program comprising:

- A) reading the receiver data and the payer data from said data storing unit, in accordance with the identification data of the user received by said user terminal (Paragraphs 27-30, Figures 9A-9B, 10); and
- B) sending the read receiver data and the payer data to said user terminal (Paragraphs 27-30, Figures 9A-9B, 10).

The examiner notes that "The system bills the item to the user based on information for that user for single action ordering and ships the item to the recipient at the delivery address" (Paragraph 27 lines 58, 1-4) is analogous to **"wherein the receiver data and the payer data stored in said data storing unit are read, in accordance with the identification data of the user received by said user terminal"**.

Conclusion

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

U.S. Patent 7,006,989 issued to **Bezos et al.** on 28 February 2006. The subject matter disclosed therein is pertinent to that of claims 1-11 (e.g., methods to provide, store, and receive address data for payers and receivers of goods).

U.S. PGPUB 2001/0049636 issued to **Hudda et al.** on 06 December 2001. The subject matter disclosed therein is pertinent to that of claims 1-11 (e.g., methods to provide, store, and receive address data for payers and receivers of goods).

U.S. Patent 6,493,742 issued to **Holland et al.** on 10 December 2002. The subject matter disclosed therein is pertinent to that of claims 1-11 (e.g., methods to provide, store, and receive address data for payers and receivers of goods).

U.S. Patent 6,609,106 issued to **Robertson** on 19 August 2003. The subject matter disclosed therein is pertinent to that of claims 1-11 (e.g., methods to provide, store, and receive address data for payers and receivers of goods).

U.S. Patent 7,013,292 issued to **Hsu** on 14 March 2006. The subject matter disclosed therein is pertinent to that of claims 1-11 (e.g., methods to provide, store, and receive address data for payers and receivers of goods).

U.S. PGPUB 2002/0111842 issued to **Miles** on 15 August 2002. The subject matter disclosed therein is pertinent to that of claims 1-11 (e.g., methods to provide, store, and receive address data for payers and receivers of goods).

U.S. PGPUB 2002/0032613 issued to **Buettgenbach et al.** on 14 March 2002. The subject matter disclosed therein is pertinent to that of claims 1-11 (e.g., methods to provide, store, and receive address data for payers and receivers of goods).

U.S. Patent 6,618,753 issued to **Holland et al.** on 09 September 2003. The subject matter disclosed therein is pertinent to that of claims 1-11 (e.g., methods to provide, store, and receive address data for payers and receivers of goods).

Contact Information

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mahesh Dwivedi whose telephone number is (571) 272-2731. The examiner can normally be reached on Monday to Friday 8:20 am – 4:40 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tim Vo can be reached (571) 272-3642. The fax number for the organization where this application or proceeding is assigned is (571) 273-8300.


Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mahesh Dwivedi
Patent Examiner
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June 19, 2006



Leslie Wong

Primary Examiner